



# Nutrition in Global Health & Disease Management - Regulatory Challenges, Policy Needs

3<sup>rd</sup> Food and Nutrition Policy Conference

*Dr Manfred Ruthsatz, Nutrition & Healthcare*

*Ankara, December 5, 2019*

# Nutrition in Global Health & Disease Management

## - Regulatory Challenges, Policy Needs

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- **Elevate the Role of Nutrition in Health & Disease Management:**  
Pyramids, Prevention, Population-Based & Personalized
- **Healthy Aging and Nutrition:** Medical, Macro, Micro & Mind the Gap
- **Gut Health & Nutrition:** Omics, Biotics et al.
- **Plant & Plant Products in Food** – EU's difficult route to harmonization, US Botanical Safety Consortium, Traditional Usage
- **The Future of Nutrition** – Shift Paradigms, Call4Action? Multi-stakeholder Partnerships

# Nutrition Trends, Challenges & Opportunities in Health & Disease Management

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## ■ LIFE STAGE NUTRITION

## ■ MEGA-TREND NUTRITION

## ■ MACRO & MICRO INGREDIENTS

## ■ REGULATORY FRAMEWORKS & POLICY

## ■ HEALTH & DISEASE TARGETS; MICROBIOME

## ■ PERSONALIZED NUTRITION & ORPHAN DISEASE

## ■ CONSUMER & PATIENT SAFETY

## ■ MARKET & PATIENT ACCESS

## ■ MULTI-STAKEHOLDER APPROACHES & POLICIES

- Conception, Prenatal, Maternal, Adult, Healthy Aging; Sports ...

- Personalized, Natural, Organic, Vegetarian/Vegan, Free-From/Food Sensitivities; Novel/Experimental, Ethnic/Origin, Sustainability/Waste

- Botanicals/CBD, Omega3, Astaxanthin, Lycopene, Vitamins, Minerals; Sports, Ethnic & SuperFoods ...; Pro-, Pre-, Syn-, Post-biotics, Microbiome ...

- Novel Foods, Health Claims; Nutraceuticals/Dietary/Food/Health Supplement; FSGs/FSDUs/FOSDU/FOSHU ...

- Global Obesity Epidemic; Joint, Brain, CV, Immune, Metabolic ... Health

- Digital, eHealth, Novel Diagnostics/"omics", Wearables ...

- Nutrient Gaps; Timely Product Access & Safety

- Health Economics/Reimbursement → Health(Care) Systems & Cost-Efficiency

- Big Decisions & Paradigm Shifts → Working Together

# Nutrition in Health & Disease Management → Big Decision Areas to Design our Future HealthCare

## Clinical Review & Education

### Special Communication

#### The Paradox of Disease Prevention Celebrated in Principle, Resisted in Practice

Harvey V. Fineberg, MD, PhD

Prevention of disease is often difficult to put into practice. Among the obstacles, the success of prevention is invisible, lacks drama, often requires persistent behavior change, and may be long delayed, statistical lives have little emotional effect, and benefits often do not accrue to the payer. Available harm is accepted as normal, preventive advice may be inconsistent, and bias against errors of commission may deter action, prevention is expected to produce a net financial return, whereas treatment is expected only to be worth its cost, and commercial interests as well as personal, religious, or cultural beliefs may conflict with disease prevention. Six strategies can help overcome these obstacles: (1) Pay for preventive services. (2) Make prevention financially rewarding for individuals and families. (3) Involve employers to promote health in the workplace and provide incentives to employees to maintain healthy practices. (4) Reinforce products and systems to make prevention simpler, lower in cost, and less dependent on individual action. (5) Use policy to reinforce choices that favor prevention. (6) Use multiple media channels to educate, elicit health-promoting behavior, and strengthen healthy habits. Prevention of disease will succeed over time insofar as it can be embedded in a culture of health.

JAMA. 2015;303(25):95-100.

Author Audio Interview at  
jama.com

CME Quiz at  
preventmeducation.com and  
CME Questions page 93

Author Affiliations: Institute of  
Medicine, Washington, DC.  
Corresponding Author: Harvey V.  
Fineberg, MD, PhD, Institute of  
Medicine, 1001 FHS St NW,  
Washington, DC 20001  
(vbfineberg@iim.nih.gov)

Why is prevention such a difficult sell? This puzzling question surfaces daily in clinical practice and public health, and it intrudes on policy makers wanting to make sound, evidence-based policy decisions. Because prevention is so deeply embedded in US culture, the relative neglect of preventive medicine seems paradoxical. Proverbs such as "a stitch in time saves nine" and "an ounce of prevention is worth a pound of cure" are repeated by grandparents and grandchildren alike. Culturally, prevention is valued, yet despite familiar aphorisms and frequent lip service, prevention of disease is, in the words of Shakespeare's Hamlet, "a custom more honour'd in the breach than the observance."

The reason for this is to be found in the lives of the living. Today, food records suggest that early modern humans living 25,000 to 40,000 years ago survived on average to their mid-thirties.<sup>1</sup> In the millennia leading to the beginning of the 20th century, average life expectancy increased by another 25 years, and not more than a year per thousand years. Then, in the space of just 100 years, life expectancy in the most developed countries increased another 25 years. Only in the 20th century did a clear strategic economic growth, improved sanitation practices, and recognition of infectious diseases allow development of better nutrition and living conditions and eventually widespread use of vaccines and antimicrobials. The great infectious diseases that flourished in the squalid conditions of newly industrialized nations were no longer as threatening. By 2010, noncommunicable diseases accounted for two-thirds of deaths in the world.<sup>2</sup> The epidemiologic transition rates the stakes for prevention of chronic disease.

The needed preventive approaches differ in several key ways from the traditional curative approach most commonly emphasized

in practice (Table). When a patient seeks medical care because of symptoms, the physician's goal is to make a diagnosis, understand the pathophysiology of the disease, and identify the optimal treatment for that patient. For the care and cure of the individual patient, it is not particularly relevant how many others in the community may experience a similar ailment. Prevention reverses the usual order of diagnosing illness: it starts at the population level and then translates information back to the individual. Rather than dwell on the pathophysiology of disease, preventive medicine focuses on the health of the community. It is usually for patients who are earlier, normal state of health. In prevention, as in dealing with hypertension or elevated cholesterol levels in a community, the goal is to lift the entire population's well-being to a healthier level, thus changing the norm. In curative care, the principal professional responsibility is to the individual patient, whereas in preventive care, focus is often at the population level and entails a responsibility to the entire community. In curative care, solutions involve precise drug medication, performing operations, or delivering other clinical therapies; in prevention, there is a much wider array of possibilities, from changing behavior to changing social conditions, in addition to the curative interventions such as immunizations. Ensuring the health of populations is more difficult than delivering health care to an individual.

### Obstacles to Prevention

At least a dozen reasons explain why prevention is so regularly resisted, regardless of how good it is in principle or celebrated in cultural lore (Box 1).

## Population Based Nutrition

Food Fortification  
«Varied & Balanced»  
Dietary Guidelines  
DRI, NRV, EAR, UL, ...  
(age/gender/pregnancy ...)

## Elevate Role of Nutrition

NCD Prevention  
Disease Related  
Malnutrition Management  
Nutrition Therapy, Enteral &  
Parenteral Nutrition

## Personalize Nutrition

HealthCare Professionals  
Rare («Orphan») Diseases  
NCD-NRVs ...  
Dietary/Food Supplements  
Microbiome & technologies  
(«omics», apps, 3D; N-of-1 ...)

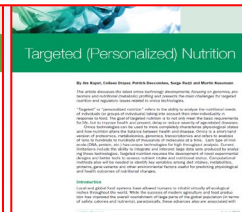
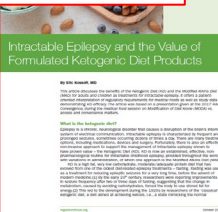
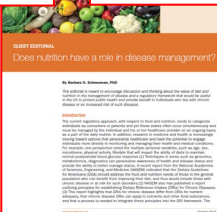
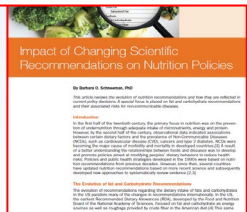
**WIN-WIN-4 «Citizens»**  
**Improved Consumer  
& Patient Care**  
**Sustainable  
Health Systems Costs**

## KEY TOPICS & HURDLES

**Improve Status Quo  
(WHO/NCDs:  
Diabetes, Dementias,  
CVDs, Cancers, ...)**

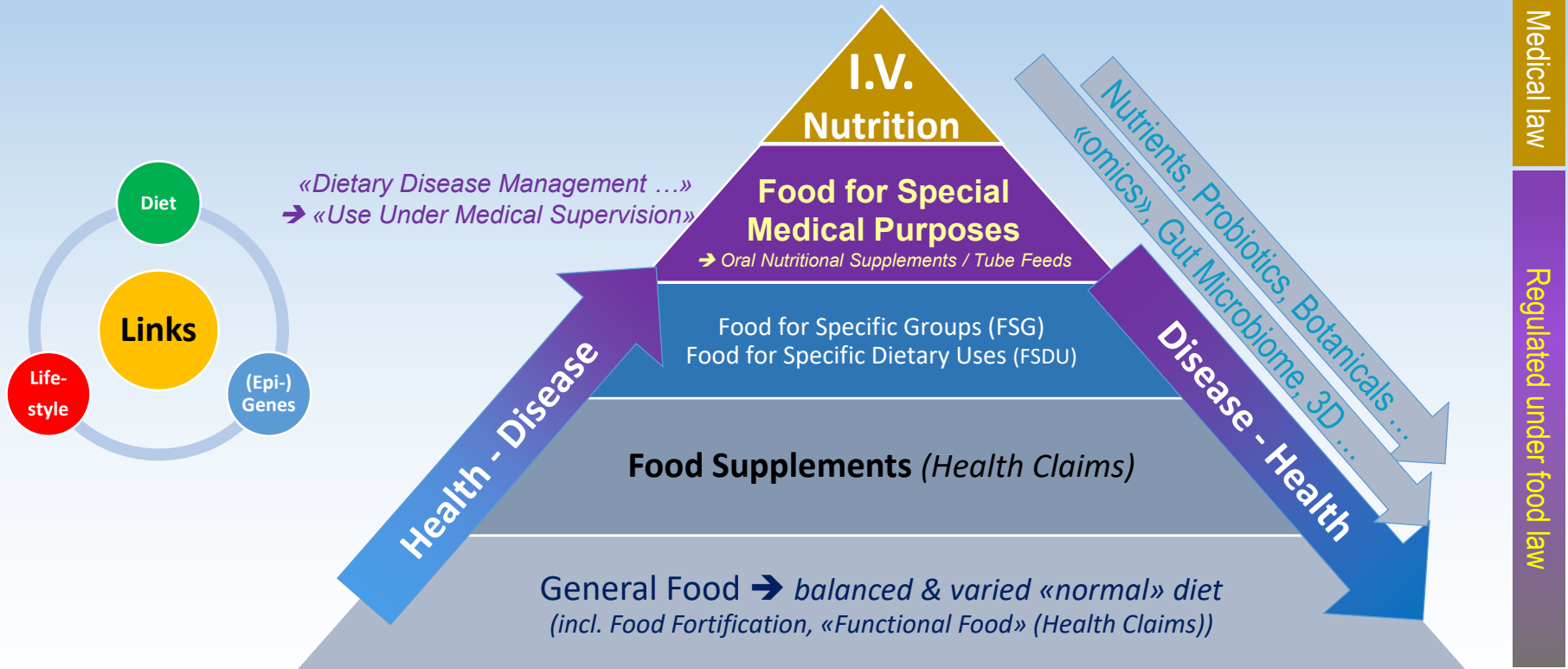
**Regulatory & HealthCare  
Frameworks: Technical  
Barriers, Implementation  
(Multiple Stakeholders)**

**Regulatory, Science  
Technology, Media  
→ Health-Disease  
Continua; Speed**



# Healthy Consumer ↔ Patient «Continuum»

## «Personalizing» the NUTRITION PYRAMID Regulated Categories





# “Nutrition4Future<sup>©</sup>” in a VUCA World

➔ Paradigm Shift(s)  
in HealthCare!?



## 4 VALUE AREAS ➔ NUTRITION4



**NUTRITION  
NOURISHES,  
IS SAFE &  
(COST-EFFICIENT**



## HEALTHIER, BALANCED, SUSTAINABLE DIET

➔ More veggies, fruits, water ...; less meat, sugar, salt, alcohol ...; supplement nutrient gaps; Good Start4Life; Active & Healthy Aging; Fight Undernutrition (WHO)



## DISEASE MANAGEMENT

➔ Dysphagia/Stroke, Surgery/ICU, Elderly Patients/Dementia, ... Patient Perspectives on Nutrition (ONCA campaign) ...



## DISEASE PREVENTION

➔ Diabetes, CVD, Cancer, Dementia ... (WHO's SDGs) Obesity; High Cholesterol; Spina Bifida (Folate), Falls ... “Prevention preferable to Therapy”



## DISEASE THERAPY

➔ Inborn Errors of Metabolism (PKU, MSUD, ...), Crohn's, Cow's Milk Allergy, Intractable Epilepsy ...

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# Healthy Aging & Nutrition

- **Heterogenous Population, Multi-Morbidity (NCDs), ...**
  - Life-expectancy, Mobility, Dental Issues, Decreased Food Intake/Variety, Metabolism, Sensory
- **Macro-, Micronutrients, Probiotics ... & Quality of Life**
  - Diets/Vegetables/Plants/“Superfoods”, Supplements
    - **Mind the Gaps:** Ca, Mg; Vit. D, Bs ... (cf NHANES, 2009-2010)
  - Food For Special Medical Purposes
- **Health Economics, Reimbursement, Cost-Efficiency ...**
  - Healthy Aging includes Nutrition:  
A key to reducing healthcare systems' costs

Nutrition, Healthy Ageing  
and Public Policy

Professor David P. Richardson  
April 2007

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IADSA  
International Alliance of Dietary/  
Food Supplement Associations



# Personalized (Targeted) Nutrition – Opportunity & Risk

## GET THE BASICS RIGHT! START WITH MEASURING




- Validated Diagnostics («omics; apps; wearables; ...) to target reliable nutritional advice
- Science: critical for an effective regulation & policy, yet may not give all answers → A demonstrated, perceived & sustained potential to improve consumer care, well-being & health systems is key

## WHAT IS THE REAL ADVANTAGE? CITIZEN HEALTH

- Target micronutrient gaps (Vit.B12, Folic, D(winter!); Fe; Zn; ...): elderly; vegetarians; ethnicities; ...
- Macro & Other nutrients: Kcal, keto & other diets, lactose, caffeine (CYP1A2/CVD), ...
- Preventable events & return for € spent (Ca/Vit.D; phytosterols; Omega-3 ...)

## WHERE IS THE REAL RISK? LIMITED, YET QUESTIONS

- Beliefs vs. Facts! Adherence? Long-term effects? Overdosing/Safety? Complexity & Uncertainty?
- Science vs. «Bad Actors»! Social Media/Speed! Paying out-of-own-pocket
- Missing opportunity for low-risk solution to mind the nutrient gaps**

	Relative risk reduction	Number of preventable events (over 5 years)	Healthcare costs savings (over 5 years)	Return for every € 1 spent
 Omega-3	- 4.9 %	1.5 Mn	€ 64.5 Bn	€ 2.29
 Phytosterols	- 2.3 %	0.85 Mn	€ 26.5 Bn	€ 4.37
 Ca/Vit. D	- 15 %	0.93 Mn	€ 19.8 Bn	€ 3.47

More information under: [www.foodsupplements europe.org](http://www.foodsupplements europe.org)

# Rare Metabolic Disorders & Food For Special Medical Purposes

Medical Condition	Amino Acid*	Incidence (est.)**	# (pa EU)**
Phenylketonuria (PKU)	Phe	1:10,000 (EU); 1:2600 (TR) - 1:18,300 (IN) - 1:200,000 (FI)	460
Tyrosinaemia	Tyr, Phe	1:105,000 (TYR1, most common type)	46-66
Maple Syrup Urine Disease (MSUD)	Leu, Ile, Val (BCAAs)	1:180,000-250,000 (US; AT); 1:200 (Amish; Mennonites; Jewish)	20
Homocystinuria	Met, Cys	1:100,000	46
Organic acidemia	Met, Val, Thr, Ile	1:85,000	164
Glutaric aciduria	Lys, Trp	1:80,000 (US prevalence: 140)	137
Galactosemia	[Lactose; Galactose]	1:45,000	53-79

\*Essential AAs: Phe, Thr, Trp, Met, Lys, His, BCAAs (Leu, Ile, Val) // \*\*Sources: Wikipedia; NIH; Diätverband, DE

## FSMP Usage – A Value Story

- FSMPs are specialised foods designed to meet the nutritional or dietary needs arising from a wide range of medical conditions for patients of all ages.
- FSMPs have a long-standing, regulated **history of safe & beneficial usage** (EU & US).  
FSMPs **cannot be replaced by normal foods** marketed to and consumed by healthy people.
- FSMPs - an integral part of patient management
  - Critical in **improving patient outcomes** - help to reduce the length of hospital stays, minimize (re-) admission and limit the need for other healthcare resources when consumed and taken correctly.
  - FSMP use is consistently linked to **lower mortality & complication rates** for malnourished patients when compared to standard care.
  - These improved outcomes help to **reduce costs** of patient management for healthcare systems. [33 mill. persons at risk of malnutrition; estim. 170Bn € p.a.]

## Medical Nutrition: Improving Nutritional Status / Clinical Advantage



### Nutrition as Disease-related Malnutrition Management

### Nutrition as Disease Management («Therapy»)

Medical Condition	Clinical Benefit
Short Bowel Syndrome; Stroke	Lifesaving Intervention
COPD	Increased Ventilatory Capacity
Surgical Patients	Less Complications
Older patients	Increased Quality of Life, Decreased Morbidity/ Mortality
Crohn's Disease	Induction of Remission
Cow's Milk Allergy	Reduced Symptoms, Catch-up Growth
IEMs: PKU, MSUD, FAOD, GSD ...	Normal Growth & Development
Intractable Epilepsy	Less Seizures; Normal Growth & Development

Can be a de-facto Disease

Prevention/Management/Treatment - Complementing Drugs

Adapted from: [http://www.eu-patient.eu/globalassets/press/pressreleases/2013-05-24\\_pr-nutrition\\_epf-egan-enha.pdf](http://www.eu-patient.eu/globalassets/press/pressreleases/2013-05-24_pr-nutrition_epf-egan-enha.pdf)

M.RUTHSATZ - 3<sup>rd</sup> Food & Nutrition Policy Conference – Ankara 12/2019

## Better care through better nutrition: Value and effects of Medical Nutrition



### A SUMMARY OF THE EVIDENCE BASE

2018;  
~500 pages



[http://medicalnutritionindustry.com/files/user\\_upload/documents/medical\\_nutrition/2018\\_MNI\\_Dossier\\_Final\\_web.pdf](http://medicalnutritionindustry.com/files/user_upload/documents/medical_nutrition/2018_MNI_Dossier_Final_web.pdf)

## REIMBURSEMENT

FSMPs are one solution to disease-related malnutrition management

Importance of FSMPs as an integral part of patients treatment is strongly supported by EU Member States

FSMP status is a prerequisite for reimbursement as food

US & EU: Tube feeds or ONS can be reimbursed (yet not mandatory)

Reimbursement in the EU is a national competence of member states - coverage by National Health Systems

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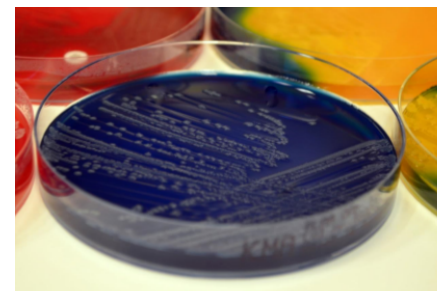
# The Microbiome Paradigm – Taking Center Stage

## ■ Hype & Reality, Certainty & Uncertainty

## ■ Multiple Initiatives

- US National Microbiome Initiative, NASEM
- OECD/Flemish Gvmt. Workshops (2016, 2017)
- “Importance of Microbiota in Human Health” International Conference, Warsaw, Nov. 2018
- EU Commission Initiatives (R&D, MIRRI ...)

## ■ Probiotics (species & strains), “Omics” Science & Multi-stakeholder Consultation & Publications ...



**RF**  
REGULATORY FOCUS

Personalized Nutrition for Better Health:  
Targeting the Human Microbiome

**Table 1. Policy Needs: the Microbiome as a Target for Personalized Nutrition**

Regulatory Needs
<ul style="list-style-type: none"> <li>• ensuring the science base</li> <li>• regulatory/legislative framework need to move with science at similar pace</li> <li>• harmonisation and flexibility of regulatory frameworks:                             <ul style="list-style-type: none"> <li>• cross-border solutions: use a common language</li> </ul> </li> <li>• address the reality of a food-drug continuum; a health-disease continuum; and a consumer-patient continuum</li> <li>• outcome benefits: allow for an acceptable level of scientific uncertainty</li> <li>• costs and reimbursement mechanisms needs to support the development of personalized nutrition for health and for the development and as part of preventive medicine</li> </ul>
Creating Value
<ul style="list-style-type: none"> <li>• communication – awareness creation                             <ul style="list-style-type: none"> <li>• toward public</li> <li>• toward healthcare providers</li> </ul> </li> <li>• get the right messages to society                             <ul style="list-style-type: none"> <li>• move from hype to reality</li> <li>• agree on opportunities</li> </ul> </li> <li>• dedicated training of healthcare professionals</li> </ul>

By Kathleen D'Hondt, PhD, Jim Kaput, PhD and Manfred Ruthsatz, PhD, RPh, DABT, RAC, FRAPS

This article describes the strategy in personalizing health and disease regulatory and policy action in light of development and application of the biomarkers for personalized target the market, avoiding industry “hype” for personalized nutrition and health care providers.

### Introduction

Healthcare systems are under increasing incidence of non-communicable diseases and modified diets and chronic diseases, such as obesity, allergies, food intolerances, Alzheimer's disease, and mental health treatments, such as targeting the microbiome. Evidence demonstrates that, in many cases, the microbiome is a key factor in the development of these diseases.

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OECD publishing

## THE MICROBIOME, DIET AND HEALTH TOWARDS A SCIENCE AND INNOVATION AGENDA

OECD SCIENCE, TECHNOLOGY  
AND INNOVATION  
POLICY PAPERS  
September 2017, No. 42

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# US Supplement Challenges: Solved & Left



2019

## Dietary Supplements and Public Safety: A Defense of DSHEA's "Three-Legged Stool"

By Steve Mister

This article discusses the passage of the *Dietary Supplement Health and Education Act (DSHEA)* in 1994 and its effects on the dietary supplement industry. The author addresses criticism of *DSHEA* and defends the intent and subsequent benefits of the legislation by identifying the "three legs of the stool" of the legislation—protection, safety and watchfulness.

### Introduction

As the dietary supplement industry prepares to observe the 25th anniversary of the passage of the *Dietary Supplement Health and Education Act (DSHEA)*, it is worth pausing to consider how this law has overseen the phenomenal growth of the dietary supplement industry in the US and around the globe.<sup>1</sup> When Congress enacted the legislation and President Clinton subsequently signed it in October 1994, neither Congress nor the president could have anticipated the industry growth *DSHEA* fostered. At the time, dietary supplements comprised an estimated \$4 billion market in the US.<sup>2</sup> Today, the *Nutrition Business Journal* values the US dietary supplement market at more than \$46 billion, growing by eleven-fold over the past 25 years.<sup>3</sup>

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2019

## The Botanical Safety Consortium (BSC): The Development of a 21st Century Framework for Assessing the Safety of Botanical Dietary Supplements

By Daniel S. Marsman, DVM, PhD, Joseph T. Dever, PhD, Stefan Gafner, PhD, Cynthia Rider, PhD, Sibyl Swift, PhD and James C. Griffiths, PhD

This article discusses steps to improve the safety of botanicals in dietary supplements. The authors discuss several US legislative initiatives and efforts by several nongovernmental organizations, such as the Council for Responsible Nutrition and the American Botanical Council, to track patterns of botanical use, and the Congress of the European Societies of Toxicology's efforts to approach safety issues, including its establishment of the Botanical Safety Consortium and its working groups.

### Introduction

Natural health products, often considered a safe and natural alternative to conventional medicine, have exhibited a resurgence in Western society. In the US, since the introduction of the *Dietary Supplement Health and Education Act of 1994 (DSHEA)*,<sup>1</sup> the dietary supplement market has flourished. Concomitantly, the dietary supplement market has further morphed into various product streams, a most rapidly expanding one being products containing one or more botanical/herbal ingredients. In parallel with this market expansion, substantial advancements in analytical methodologies have led to a better understanding of the complexity and diversity of botanical chemistry and

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# EU & Global Supplement Challenges: Solved & Left



By Patrick Coppens

This article describes European Union food supplements legislation and discusses a number of 'stumbling blocks' to full regulatory harmonization. The author reviews a number of EU-wide issues in food supplement legislation, including national versus EU agendas, the 'gray zone' between food supplements and medicines, and the problems with food supplement 'health claims.' In an effort to help companies be aware of what is coming so they can adjust their strategies accordingly, the author also offers several scenarios and possible obstacles and/or benefits future legislation may bring.

## Introduction

Food supplements come in many shapes and sizes. They contain vitamins, minerals, botanicals and other substances having physiological effect on those who take them. While these products must comply with a series of European laws, the composition of these products is still largely subject to national legislation, resulting in numerous trade barriers even between European Union (EU) member states. While the calls for further regulatory harmonization of food supplements rings loudly, travel along the road to harmonization is slow and difficult.

## The European Legislative Framework

Those who may think food supplements are today not legally regulated in the EU are misguided. Since 2002, the EU has created a legal and regulatory framework for these products with the Food Supplements Directive 2002/46/EC.(1)

This legislation means that all horizontal food law applies to food supplements, including the following legislation:

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July 2018

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2018

Annals of  
**Nutrition & Metabolism**

## Consensus Paper

Ann Nutr Metab 2006;50:538–554  
DOI: 10.1159/000098146

Published online: December 21, 2006

## Use of Botanicals in Food Supplements

Regulatory Scope, Scientific Risk Assessment and Claim Substantiation

P. Coppens<sup>a</sup> L. Delmulle<sup>b</sup> O. Gulati<sup>c</sup> D. Richardson<sup>d</sup> M. Ruthsatz<sup>e</sup>  
H. Sievers<sup>f</sup> S. Sidani<sup>g</sup>

<sup>a</sup>European Responsible Nutrition Alliance, Brussels; <sup>b</sup>Ortis, Elsenborn, Belgium; <sup>c</sup>Horphag Research Management Ltd, Geneva, Switzerland; <sup>d</sup>DPR Nutrition Ltd., Croydon, UK; <sup>e</sup>Innédov, Asnières, France; <sup>f</sup>PhytoLab, Vestenbergsgreuth, Germany; <sup>g</sup>Seven Seas, Hull, UK

## Key Words

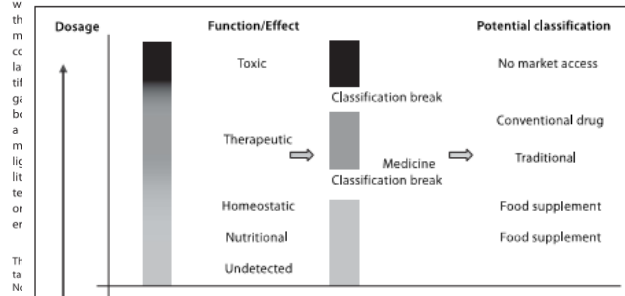
Botanicals, safety assessment · Herbal medicinal products, legislation · Food supplements, health claims · Risk assessment, herbal medicine · Functional foods, herbal

## Abstract

**Background/Aims:** In the European Union, an elaborate legal framework regulates botanical products both under food and medicinal law. The decision as to which legal frame-

work is applied depends on the experience and grading of evidence. **Conclusions:** A model for safety and efficacy assessment of botanical food supplements in the EU is proposed, and should be taken into consideration in the development of legislation and scientific research on botanicals.

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## Traditional Use of Botanicals and Botanical Preparations

### An International Perspective

Robert Anton, Basil Mathioudakis, Sawijyo Pramono, Ekrem Sezik and Surinder Sharma\*

Botanicals are used worldwide in food and supplements for their nutritional and physiological effects and have become part of the local and regional cultural heritage. The use of botanicals has evolved from experience over a long period of time, often over centuries. Folk knowledge of this use has been passed on from generation to generation and later been systematically recorded. This information is collectively called 'traditional use' and is the largest body of observational evidence in humans available. It is recognised as a valid body of knowledge to support the safe use of botanicals and document their health benefits. This paper describes the experience on how traditional use is accepted as a basis for support of the safety and benefits for health of botanical preparations used in food supplements. It proposes a common basis for the mutual acceptance of the evidence as assessed by expert judgement that may lead to recognition of the safety and benefits of botanicals in different parts of the world.

**Keywords:** Traditional use; botanicals; folk use; systematic use; conditions of use; physiological benefits; safety; food law; supplements.

## 1. Introduction

The use of botanicals and botanical preparations (hereafter referred to as botanicals) is as deeply rooted in local and regional culture as are traditional dishes and dietary habits. It is part of the heritage of knowledge that has accumulated over time and is transferred from generation to generation.

Representing four regions of the world, each with a distinct and extensive history and clear recognition of history of use of botanicals, this paper reflects the collective views of leading experts in the field on what information constitutes traditional use, exploring and describing how such traditional knowledge has accumulated and is used. This paper focuses exclusively on the tradition of use of botanicals used for nutritional or physiological benefits in supplements. In this paper, the term 'supplements' is used to designate a category of products in various jurisdictions referred to as 'food supplements', 'dietary supplements' or 'health supplements'. It covers concentrated forms of botanicals and other food com-

ponents, in small unit dose form, intended to supplement the diet.

The aim of this paper is to:

- Provide an authoritative account of traditional uses of botanicals, principally in foods and supplements, based on knowledge, practice and experience from different parts of the world.
- Identify the key parameters characterising traditional use.

\* Robert Anton of the Faculty of Pharmacy, University of Strasbourg, France; Basil Mathioudakis of Basil Mathioudakis Consultants, Food legislation and nutrition, Brussels, Belgium; Sawijyo Pramono of the Faculty of Pharmacy, Cengkaleh Medical University, Yogyakarta, Indonesia; Ekrem Sezik of the Faculty of Pharmacy, Department of Pharmacology and Therapeutics, Yildirim Beyazıt University, Bursa, Turkey; Surinder K. Sharma is the Chairman of the Scientific Panel on Health Supplements & Nutraceuticals, Food Safety & Standards Authority, Ministry of Health & Family Welfare and former Advisor, Ayurveda Ministry of AYUSH, India. Corresponding author: Prof Dr Im. R. Anton, 9, allée de la Richemont 41000 Strasbourg, France. e-mail: robert.anton@univ-strasbourg.fr. Acknowledgement: Support for the development of this article was provided by the International Alliance of Dietary Food Supplement Associations (<http://www.iadfa.org/>).

# Food Supplements, Botanicals

## Quality and Safety

### Food Supplements Europe Quality Guides

Good Manufacturing Practices Guide

Good Manufacturing Practices Questionnaire

Botanical Preparations Quality Guide

Botanical Preparations Quality Questionnaire



- **BELFRIT List: Plants to Notify (in dosage form)**  
[https://www.health.belgium.be/sites/default/files/uploads/fields/fpshealth\\_theme\\_file/consolidated\\_version\\_rd\\_29\\_august\\_1997\\_v10-02-2017\\_fr.pdf0](https://www.health.belgium.be/sites/default/files/uploads/fields/fpshealth_theme_file/consolidated_version_rd_29_august_1997_v10-02-2017_fr.pdf0)

Liste 3: Plantes à notifier si sous forme pédoncée

Botanische naam / Nom botanique	Familie / Famille	Synoniem / Synonyme	Naam	Nom	Toegelaten plantendeel of specifieke bereiding	Parties de plante autorisée ou préparation particulière	Voorwaarden	Conditions
Abelmoschus esculentus (L.) Moench	Malvaceae		okra, okra	okra, gombo, cabio, calao	vrucht	fruit		
Abelmoschus moschatus Medik.	Malvaceae		ambrettezaad, muskadezaad	ambrette	zaad	graine		
Abies alba Mill.	Pinaceae		gewone zilverspar, grote spar, zilverspar	sapin pectiné, sapin blanc, sapin argenté	schoors, tak, naald, knop, zaad, hars	écorce, rameaux, aiguille, bourgeon, graine, résine		
Abies balsamea (L.) Mill.	Pinaceae		balsampar, canadabalsam	sapin baumier	schoors, naald, hars, twig	écorce, aiguille, résine, brindille		
Abies nordmanniana subsp. equi-trojani (Aech. & Sitt. ex B.S.P.) (Godek & Cullen)	Pinaceae	Abies pectinata DC. Var. Equi-Trojan Aech. & Sitt. ex Boiss.	nordmann-spar, kaukasische spar, krimpap	sapin de nordmann, sapin du caucase, sapin de crémée	schoors, naald	écorce, aiguille		
Abies sibirica Ledeb.	Pinaceae		siberische zilversden	sapin de sibérie	schoors, tak, naald, hars	écorce, rameaux, aiguille, résine		
Abroma augusta L.	Malvaceae				wortelschoors	écorce de racine	De extractie moet de volgende waarschuwingen bevatten: Niet gebruiken bij de zwangerschap of borstvoeding.	L'usage doit comporter les avertissements suivants: Ne pas utiliser en cas de grossesse ou d'allaitement.

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- <https://foodsupplementseurope.org/wp-content/themes/fse-theme/documents/publications-and-guidelines/qualityofbotanicalpreparations.pdf>
- <https://foodsupplementseurope.org/wp-content/themes/fse-theme/documents/publications-and-guidelines/qualityquestionnaire.pdf>

# Botanicals between Science & Policy

- Botanical food supplements: ongoing expert debate among industry, governments & the scientific community
  - Determine the optimum methodology for the safety assessment
  - Clarify the borderline between the medicinal and food use; substantiate health claims
- Traditional Botanical Usage:
  - Recognized in different regions of the world
  - Additional to scientific data; often the only evidence available
  - Assessment & acceptance should be a matter of expert judgement

# Nutrition in Global Health & Disease Management

## - Regulatory Challenges, Policy Needs

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- **Elevate the Role of Nutrition in Health & Disease Management:**  
Pyramids, Prevention, Population-Based & Personalized
- **Healthy Aging and Nutrition:** Medical, Macro, Micro & Mind the Gap
- **Gut Health & Nutrition:** Omics, Biotics et al.
- **Plant & Plant Products in Food** – EU's difficult route to harmonization, US Botanical Safety Consortium, Traditional Usage
- **The Future of Nutrition** – Shift Paradigms, Call4Action? Multi-stakeholder Partnerships

# Paradigm Changes - Call4Action

## ■ Multifactorial Game Changers

- Growing & Aging Population; NCDs
- Disruptive technologies (omics; diagnostics); Social Media; e-Commerce; Speed
- Market/Patient Access & Healthcare system costs (GDP%)

## ■ Regulatory Framework → Science, Proportionate

- Categories → Overcome, Interpret the Silos
- Personalize Nutrition → Plus Population Approaches
- Disease Prevention → Better than Treatment only
- Nutrition Therapy → Power of Nutrition, Synergize
- Microbiome → Accept Certain Uncertainty

## ■ Multi-stakeholder approaches → EU Commission; AHA, NuAge, ENHA/ONCA; OECD; Mérieux, ...

## Role of Nutritional Therapy in Healthcare Innovation: The Need for Reshaping Regulatory Paradigms

By Manfred RUTHSATZ, PhD  
Nestlé Health Science, Global Head of Regulatory Advocacy

Over the next decades, the world will undergo profound changes, with its population approaching ten billion, senior citizens making up one out of five, non-communicable diseases (NCDs) increasingly outnumbering infectious diseases [1], and healthcare costs threatening to reach an ever higher percentage of countries' GDPs. As daunting as these figures might appear, new scientific insights and technological opportunities coming at an unprecedented pace promise new perspectives and potential solutions to currently unmet needs. 'Omics' diagnostics will revolutionize the way we approach prevention, personalize nutrition in healthcare, and how a patient is to be defined. Novel nutrition therapeutic findings will transform disease management, and the microbiome will become a new force in targeting holistic healthcare solutions.

This article presents pertinent focus areas to encourage dialogue with regulators, policy makers, healthcare professionals and other stakeholders to revisit current regulatory and policy frameworks at the food-medicine continuum and their respective interpretation, with regards to healthcare.

### Three Focus Areas of Disruptive Healthcare Innovations – Opportunities & Regulatory Challenges

Emerging developments in science and technologies will affect the practice of modern disease management and the nature of patient care at a faster pace than ever seen before [2]. Disruptive discoveries in diagnostics and the human gut microbiome will bring a better understanding of the complex interplay of nutrition, health and disease and have the potential to create an innovative, affordable, cost-effective and sustainable healthcare environment [3]. Regulatory frameworks established over time will have to accommodate these new developments and adapt faster than ever to serve the needs of patients and society (Table 1) [4] [5].

1) Firstly, disruptive advances in diagnostics (incl. "omics" biomarkers, IT/Big Data) will change the way we are going to undertake disease prevention, in particular developing a differentiated, targeted way to address the non-communicable, mostly chronic, disease (NCD) pandemics. The goal is to improve health and prevent, delay or reduce severity of diseases. "Omics" technologies such as genomics, epigenomics, proteomics, metabolomics can be

used to more completely characterize physiological states and to show how nutrition alters the balance between health and disease [6]. The definition of what constitutes a "patient" is a pivotal element in determining the regulatory classification within product development. Advances in diagnostics such as "omics" will imply new mechanisms to better define the "future" patient, i.e. where health ends ("homeostasis") and disease starts (for example, whether persons diagnosed with a genetic pre-disposition to a disease are considered (potential) patients). Regulations need to adapt to make this clearer. "Omics" diagnostics will also have direct implications to foster the move towards targeted (personalized) nutrition for specific patient groups [6].

2) Secondly, a more holistic approach to disease management is needed, fully including nutritional therapy, such as medical foods, providing patient benefits as demonstrated in Crohn's disease [7] [8], inborn errors of metabolism [9], intractable epilepsy [10] [11] [12], severe cow's milk allergy [13], disease related malnutrition in the elderly patient [14]. Furthermore, nutritional therapy holds promise, in addition to medical care and life-style changes, to get patients healthier quicker, out of the hospital earlier, back to a productive, social life, at reduced costs to our healthcare systems [14] [15] [16] [17] [18]. Despite evidence of



Knowledge and debate in the *American Journal of Clinical Nutrition*: new sections, new science, and looking forward and outward

Christopher P. Duggan,<sup>1</sup> Lorraine Brennan,<sup>2</sup> Parul Christian,<sup>3,4</sup> Jessica Fanzo,<sup>5</sup> and David S. Ludwig,<sup>6</sup> for the Editors of the *American Journal of Clinical Nutrition*

<sup>1</sup>Center for Nutrition, Division of Gastroenterology, Hepatology, and Nutrition, Institute of Food and Health, School of Agriculture and Food Sciences, UC-D; <sup>2</sup>Department of International Health, Bloomberg School of Public Health, Johns Hopkins University; <sup>3</sup>Department of Nutrition, Harvard School of Public Health; <sup>4</sup>Nitaz School of Advanced International Studies, Bloomberg School of Public Health; <sup>5</sup>Foundation Obesity Prevention Center, Division of Endocrinology, Boston Children's Hospital; <sup>6</sup>Harvard Medical School, Division of Endocrinology, Boston Children's Hospital

In Vision 2028, the ASN's 10-y vision for its role as a scientific society, it is proposed that the ASN should adopt "a new outward-facing role to more actively leverage our science in the service of humanity (and animals) through the active translation and promotion of optimal nutrition for health" (1). In contrast to endorsing an archetypal inward-focused academic society, the white paper lists several ways for the ASN to engage its members and nutrition scientists to advance science and nutrition globally.

The Editors of the *American Journal of Clinical Nutrition* (AJCN) share these broad values (2), and have evaluated how the pages and content of our journal can carry these forward for the next 10 or more years. Although more changes are sure to come in these print and electronic pages, we are pleased to share with you our latest additional sections for the journal.

#### Great Debates in Nutrition

Recognizing that scholarly debate is a healthy process, we announce a new article category: Debates in Nutrition (GDN).

All too often in nutrition science, major topics are polarized camps in which the like-minded agree with each other, but fail to seek common ground from opposing camps. This admittedly has been directly addressed, can impede a rational and balanced assessment of the evidence, and promote politicization of science. Continued unproductive discourse that can occur on low-fat compared with low-carbohydrate diets, or on saturated fat, and omega-3:6 ratio; or on conflicts of interest and industrial support.

The aim of this new article type is for vigorous, timely, scholarly, and collegial topics in nutrition, especially those with clinical care and public health. For example, (formulated as a proposition) with Editor, with suggestions from the AJCN, for example, "National Dietary Recommendation Limit Consumption of Saturated Fat."

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Journal List > Genes Nutr > v.12; 2017 > PMC5264346

## Genes & Nutrition



Genes Nutr. 2017; 12: 3.

Published online 2017 Jan 25. doi: [10.1186/s12263-016-0549-8](https://doi.org/10.1186/s12263-016-0549-8)

PMCID: PMC5264346

PMID: 28138347

### Propelling the paradigm shift from reductionism to systems nutrition

Jim Kaput,<sup>1</sup> Giuditta Perozzi,<sup>2</sup> Marijana Radonjic,<sup>3</sup> and Fabio Virgili<sup>2</sup>

[Author information](#) [Article notes](#) [Copyright and License information](#) [Disclaimers](#)

Laville et al. *Trials* (2017) 18:425  
DOI 10.1186/s13063-017-2160-8

Trials

Go to:

#### REVIEW

Open Access

## Evidence-based practice within nutrition: what are the barriers for improving the evidence and how can they be dealt with?

Martine Laville<sup>1</sup>, Berenice Segrestin<sup>1</sup>, Maud Alligier<sup>1</sup>, Cristina Ruano-Rodriguez<sup>2,3</sup>, Lluís Serra-Majem<sup>2,3</sup>, Michael Hiesmayr<sup>4</sup>, Annette Scholz<sup>5</sup>, Carlo La Vecchia<sup>6</sup>, Yves Boirie<sup>7</sup>, Ana Rath<sup>8</sup>, Emma A. M. Neugebauer<sup>9</sup>, Silvio Garattini<sup>10</sup>, Vittorio Bertele<sup>10</sup>, Christine Kubiak<sup>11</sup>, Jacques Demotes-Mainard<sup>11</sup>, Janus C. Jakobsen<sup>12,13</sup>, Snezana Djuricic<sup>12</sup> and Christian Glud<sup>12</sup>

#### Abstract

**Background:** Evidence-based clinical research poses special barriers in the field of nutrition. The present review summarises the main barriers to research in the field of nutrition that are not common to all randomised clinical trials or trials on rare diseases and highlights opportunities for improvements.

**Methods:** Systematic academic literature searches and internal European Clinical Research Infrastructure Network (ECRIN) communications during face-to-face meetings and telephone conferences from 2013 to 2017 within the context of the ECRIN Integrating Activity (ECRIN-IA) project.

**Results:** Many nutrients occur in multiple forms that differ in biological activity, and several factors can alter their bioavailability which raises barriers to their assessment. These include specific difficulties with blinding procedures, with assessments of dietary intake, and with selecting appropriate outcomes as patient-centred outcomes may occur decennia into the future. The methodologies and regulations for drug trials are, however, applicable to nutrition trials.

**Conclusions:** Research on clinical nutrition should start by collecting clinical data systematically in databases and registries. Measurable patient-centred outcomes and appropriate study designs are needed. International cooperation and multistakeholder engagement are key for success.

**Keywords:** Randomised clinical trials, Evidence-based clinical practice, Evidence-based medicine, Assessment, Specific barriers, Nutrition, ECRIN, European Clinical Infrastructure Network

c understanding of the and disease. Animal, cell, or be pursued of the results to healthy ment of increasingly analyzing increasingly led to a major leap in dietary components to science. As primary ria for publishing only l authors to adopt the volve in parallel with the tant updating. We updated journal policies ems biology interfacing g health and preventing trition research. We also which follow the

Women's health

# Nutrition Debates & Specifics: Improving Practice & Policies ...



Adv Nutr. 2017 Jul; 8(4): 532–545.

Published online 2017 Jul 6. doi: [10.3945/an.116.014738](https://doi.org/10.3945/an.116.014738)

PMCID: PMC5502870

PMID: [28710414](https://pubmed.ncbi.nlm.nih.gov/28710414/)

## Perspective: Improving Nutritional Guidelines for Sustainable Health Policies: Current Status and Perspectives

Paolo Magni,<sup>1</sup> Dennis M. Bier,<sup>1</sup> Sergio Pecorelli,<sup>2</sup> Carlo Agostoni,<sup>2</sup> Arne Astrup,<sup>5</sup> Furio Briganti,<sup>6</sup> Robert Cook,<sup>7</sup> Emanuela Folco,<sup>8</sup> Luigi Fontana,<sup>9,10</sup> Robert A. Gibson,<sup>11</sup> Ranieri Guerra,<sup>12</sup> Gordon H. Givatt,<sup>13</sup> John P. Ioannidis,<sup>14</sup> Ann S. Jackson,<sup>4</sup> David M. Kluwe,<sup>15</sup> Maria Makrides,<sup>16</sup> Basil Mathioudakis,<sup>17</sup> Alessandro Monaco,<sup>8</sup> Chirag J. Patel,<sup>18</sup> Giorgio Raccagn,<sup>1</sup> Holger J. Schünemann,<sup>13</sup> Raanan Shamir,<sup>19</sup> Niv Zmora,<sup>20</sup> and Andrea Peracini<sup>20</sup>

<sup>1</sup>Department of Pharmacological and Biomolecular Sciences, and

<sup>2</sup>Fondazione IRCCS Cà Granda Ospedale Maggiore Policlinico, DISCO, Università degli Studi di Milano, Milan, Italy.

A large body of evidence supports the notion that incorrect or insufficient nutrition contributes to disease development. A pivotal goal is thus to understand what exactly is appropriate and what is inappropriate in food ingestion and the consequent nutritional status and health. The effective application of these concepts requires the translation of scientific information into practical approaches that have a tangible and measurable impact at both individual and population levels. The agenda for the future is expected to support available methodology in nutrition research to personalize guideline recommendations, properly grading the quality of the available evidence, promoting adherence to the well-established evidence hierarchy in nutrition, and enhancing strategies for appropriate vetting and transparent reporting that will solidify the recommendations for health promotion. The final goal is to build a constructive coalition among scientists, policy makers, and communication professionals for sustainable health and nutritional policies. Currently, a strong rationale and available data support a personalized dietary approach according to personal variables, including sex and age, circulating metabolic biomarkers, food quality and intake frequency, lifestyle variables such as physical activity, and environmental variables including one's microbiome profile. There is a strong and urgent need to develop a successful commitment among all the stakeholders to define novel and sustainable approaches toward the management of the health value of nutrition at individual and population levels. Moving forward requires adherence to well-established principles of evidence evaluation as well as identification of effective tools to obtain better quality evidence. Much remains to be done in the near future.

**Keywords:** food, genetics, microbiome, nutritional status, personalized nutrition

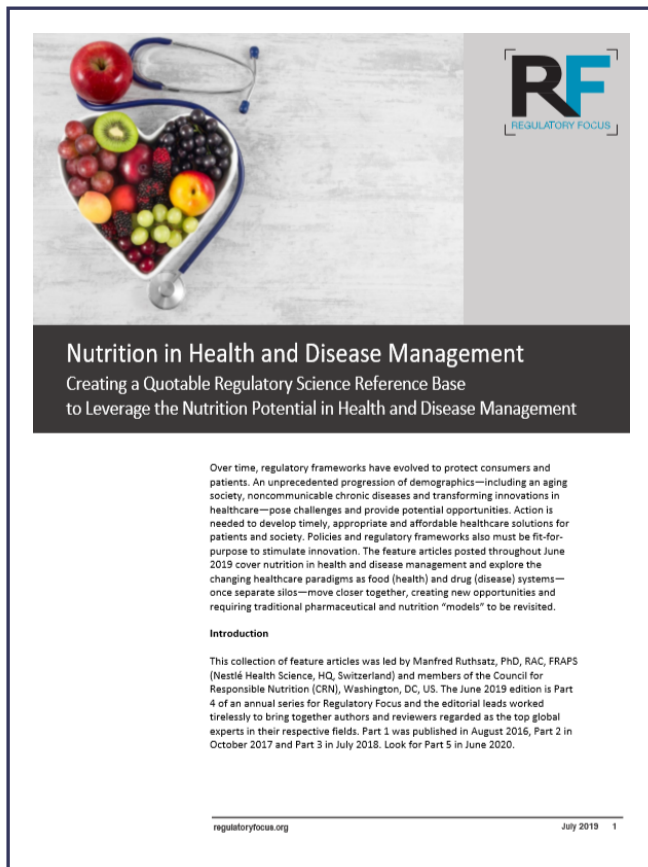
# RAPS Regulatory Focus: “Nutrition in Health & Disease Management” Annual Series 2016-2020

- Elevate the Role of Nutrition in Health & Disease Management
- Leverage Regulatory Science, Build Awareness
  - Create Bridges with Multiple Stakeholders
  - Stay Abreast of Latest Trends in Nutrition in Health
  - Steadily Improving the State of Nutrition
- Next Edition: June 2020 (submission deadline: April)

➔ If interested, let me know by end of 2019

<https://www.raps.org/news-and-articles/news-articles/2019/7/nutrition-in-health-and-disease-management>

➔ Here you find also the links to all Reference Articles from 2016-2019



- The measure of whether it is possible to achieve the required nutritional intake by modification of the normal diet must be considered in the context of the patient and the challenges of their disease, disorder, or medical condition. FSMP also can offer nutritional and clinical advantages to patients over and above modification of normal diet, and this too must be taken into account. It can be impossible for some patients to meet their requirements via normal foods, but it also can be unsafe, impractical or disadvantageous to patients to try to modify their diet to meet the nutritional demands of their disease or medical condition and FSMP provide a pragmatic solution.

# Optimal Nutrition Care for All (ONCA) Campaign

- Aligned behind a simple/straightforward goal:  
Malnutrition Risk Assessment plus  
Implementing appropriate Nutrition Care
- Multi-stakeholder Partners  
in 18 Countries (**incl. Turkey**):  
Patient, HCP, Payers,  
Industry ... Associations

## Revising the EU FSMP Regulatory Framework: Laying a Foundation for Future Nutritional Patient Care

By Cathy Bushnell and Manfred Ruthsatz, PhD, RAC, FRAPS

This article addresses the 2010-2017 full revision of the regulatory framework for Food for Special Medical Purposes (FSMP) in the European Union (EU) for Food for Special Medical Purposes (FSMP) and discusses critical to the pragmatic and successful implementation of their availability to patients.

**Impactful communication: ONCA goes viral**

**optimal nutritional care for all**

Creating and sharing good practices across Europe, country by country

Education of patient groups on nutrition  
Personal patient story - Cystic Fibrosis  
Raising awareness on optimal nutritional care

[www.european-nutrition.org](http://www.european-nutrition.org)

1 Find your Good Practice  
2 Share it to your networks  
3 Boost the campaign's reach

LLI courses organized for public hospital pharmacists  
BAPEN Nutritional Care Tool  
Management of malnutrition in complex chronic patients

## Innovating Patient Driven Nutritional Care Across Europe: The Optimal Nutritional Care for All (ONCA) Multi-Stakeholder Initiative



By Frank de Man, LL.M, PhD, Coes Smits, DrSc and Manfred Ruthsatz, PhD, RAC, FRAPS

This article addresses a European public-private healthcare initiative to implement Optimal Nutritional Care for All (ONCA) for patients. It underlines the importance of an effective multi-stakeholder approach in 16 participating countries, based on sound policymaking. A fit-for-purpose, innovation-friendly regulatory framework is required to help provide appropriate

# Nutrition4Future<sup>©</sup>: Health & Disease ➔ Call4Action




## Nutrition Challenges

## Nutrition Opportunities & Solutions



### Why citizen-driven research strategies

20 <sup>th</sup> century	21 <sup>st</sup> century
<ul style="list-style-type: none"><li>• Cure</li><li>• Religion of the Average</li><li>• “We take good care of you”</li><li>• You are either sick or healthy</li><li>• Reductionist</li><li>• Knowledge imperative</li><li>• Certainty / uncertainty</li></ul>	<ul style="list-style-type: none"><li>• Prevention</li><li>• Uniqueness of the individual</li><li>• “I take good care of myself”</li><li>• Focus on resilience</li><li>• Appreciative of complexity</li><li>• Learning imperative</li><li>• Curiosity</li></ul>



**Gaston Remmers**  
(g.remmers@habitus.nu)

# Nutrition4Future<sup>©</sup>: Health & Disease ➔ Call4Action

## Nutrition Challenges

- **NCDs: Treatment plus Prevention (IP; ROI?!)**
  - Population-Based plus Personalized Approaches
  - Health & Disease Continuum: Define Patient?!
  - Disruptive Diagnostic Technologies (omics, apps...), Speed, Social Networks, e-Commerce
- **Regulatory Category Requirements**
  - Based on Science & Other Legitimate Factors
  - Interpret technological hurdles proportionally; Evidence (Un-)Certainty (Microbiome)
- **Unmet Need: Patient Access & Benefit 1st**
  - Need Factor (Timeliness!): FSMPs save lives ➔ Product unavailability for a patient: a safety issue
  - Reimbursement Schemes: Hospital & out-patient/home care; Kcal vs. Value-based; Tube & ONS

## Nutrition Opportunities & Solutions

- **Essential in health & disease management**
  - **Nourishes & physiologic; safe/no-low risk\*; adherence (flavour, texture) & cost-efficient**
  - Can be personalized, sole solution or partner to drugs
  - **Gaps: Supplements in addition to a varied, balanced diet**
- **«Nutrition4Future» Regulatory Framework ➔ Evolution or Paradigm Shift?**
  - Can't afford & lose **low-risk healthcare solutions** («ROI»): interpret, converge & use «precautionary» approach wisely
  - «21st Century» Evidence\*\*: Targeted Solutions
  - Consider disruptive facts & increase incentives (IP; ROI)
- **VUCA World ➔ Implement what we know & Multi-stakeholder dialogue behind common Nutrition4Future goal**





3<sup>rd</sup> Food and Nutrition Policy Conference

Dr Manfred Ruthsatz, Nutrition & Healthcare

Ankara, December 5, 2019



# Biography – Dr Manfred Ruthsatz

- Manfred's expertise & passion is to build and strengthen relations between multiple stakeholders, such as regulators, policymakers, manufacturers, academia, healthcare professionals & patient NGOs to change people's lives through nutrition
- He lead advocacy, regulatory, safety & quality, reimbursement & health economics functions in nutrition & healthcare industries (Nestlé Health Science, L'Oréal-innéov, Abbott, Roche), providing him with strategic experience in nutrition, botanicals, biotech, drugs, devices, cosmetics. He was a prior NIH Visiting Fellow (cancer virology; molecular biology) & served as a reviewing pharmacologist @ the US-FDA (CDER)
- He maintains a long-standing recognition on governing, scientific, faculty & editorial boards (ISDI, VP; RAPS; ERNA; MIRRI; European Botanical Forum, presidency) & lead global/regional medical nutrition/dietary/food supplements association working groups
- He published & presents frequently to governments, associations & at global nutrition & healthcare conferences in Europe, the Americas & Asia, on healthcare & safety topics, incl. personalized nutrition, healthy aging, disease-related malnutrition, microbiome, food-drug borderline, global convergence, multi-stakeholder engagement & policymaking
- He upholds Board Certifications in Pharmacy, Toxicology (DABT), Regulatory Affairs (RAC) & received the rare distinction as a Regulatory Affairs Professionals Society (RAPS) Fellow & as Competent Communicator (CC) from Toastmasters International

